

Standard Tariff Plan of BCC Invest JSC for legal entities

Nº п/п	Tariff plan for legal entities		
1	Brokerage Services		
	Name of service	Order signed on paper medium	Order signed via electronic method
1.1.	Operations of purchase/sale of financial instruments on KASE	0.03% of the transaction amount (min. 5 000 tenge)	0.03% of the transaction amount (min. 100 tenge)
1.2.	Operations of purchase/sale of financial instruments on AIX	0,1% of the transaction amount (min. 7 500 tenge)	0,1% of the transaction amount (min. 350 tenge)
1.3.	Operations of purchase/sale of financial instruments on the international market	0,155% of the transaction amount (min. 7 500 tenge)	0,155% of the transaction amount (min. 3 500 tenge)
1.4.	Purchase/sale of financial instruments on the OTC market. Registration of transfer of ownership rights	0,06% of the transaction amount (min. 7 500 tenge, max. 250 MCI)	0,06% of the transaction amount (min. 500 tenge, max. 250 MCI)
1.5.	Registration of transfer of ownership rights to financial instruments (gifting, exchange)	0.03% of the volume at the transaction price (min. 10 000 tenge, max. 250 MCI)	
1.6.	REPO Transactions	3% of the difference upon closing (min. 2 MCI, max. 100 MCI per order)	3% of the difference upon closing (min. 2 MCI, max. 100 MCI per order)
1.7.	Transaction executed by direct trade method, as well as purchase/sale transaction of non-government securities admitted to circulation in the "Unlisted securities" sector	0,09% (min. 5 000 tenge)	0,09% (min. 5 000 tenge)
1.8.	Conducting trades with a single seller or buyer of securities (special trades, subscription)	0.09% of the total nominal value of bonds (min. 100 MCI, max. 7 000 MCI)	0.09% of the total nominal value of bonds (min. 100 MCI, max. 7 000 MCI)
2	Nominal Holder Services		
2.1.	Opening/closing of a personal account, change of holder details	Free	
2.2.	Maintenance of a personal account	Free	
2.3.	Crediting of financial instruments without change of nominal holder (within the company). Without change of ownership rights.	500 tenge	
2.4.	Debiting of financial instruments without change of nominal holder (within the company). Without change of ownership rights.	500 tenge	

2.5.	Crediting/input into nominal holding of financial instruments. Without change of ownership rights.	Free
2.6.	Debiting/withdrawal from nominal holding of financial instruments. Without change of ownership rights.	0.1% of the transaction (min. 15 000 tenge, max. 50 000 tenge per instruction)
2.7.	Registration of encumbrance of financial instruments	0.1% of the amount at nominal value (min. 10 MCI, max. 250 MCI)
2.8.	Removal of encumbrance of financial instruments	0.1% of the amount at nominal value (min. 10 MCI, max. 250 MCI)
2.9.	Conversion of a financial instrument (from a depositary receipt to common shares, from common shares to depositary receipts)	25 tenge per 1 GDR/ADR (min 10 000 tenge)
2.10.	Conversion of depositary receipts into shares of companies included in sanctions lists	Tariff is negotiated separately depending on the value of depositary receipts. Not less than 5% of the total value of the underlying asset at the NB RK rate
2.11.	Provision of an extract or statement on account movements	On paper with Company seal impression - 5000 tenge In electronic format without seal impression - free
2.12.	Participation in a corporate event	Free
2.13.	Dematerialization services (commission for one dematerialization of shares (i.e., for one certificate))	0.15% of the market value of the financial instrument (min. 40 MCI, max. 300 MCI)

3 Tariffs for the service of purchase/sale of currency on KASE

	Purchase/sale of currency on KASE	Order signed on paper medium	Order signed via electronic method
3.1.	USD/KZT EUR/KZT EUR/USD	0,075% of the transaction amount, min. 10 000 tenge; over 500 000 USD/EUR – 0.06% of the amount; over 5 000 000 USD/EUR – 0,04% of the transaction amount	0,075% of the transaction amount, min. 2 000 tenge; over 500 000 USD/EUR – 0.06% of the amount; over 5 000 000 USD/EUR – 0,04% of the transaction amount
3.2.	CNY/KZT	0,075% of the transaction amount, min. 10 000 tenge; over 2 000 000 CNY – 0.06% of the amount	0,075% of the transaction amount, min. 2 000 tenge; over 2 000 000 CNY – 0.06% of the amount
3.3.	EUR/CNY USD/CNY	0,075% of the transaction amount, min. 10 000 tenge; over 500 000 USD/EUR – 0.06% of the amount	0,075% of the transaction amount, min. 2 000 tenge; over 500 000 USD/EUR – 0.06% of the amount
3.4.	RUB/KZT	0,50% of the transaction amount, min. 10 000 tenge; over 50 000 000 RUB – 0.25% of the amount	0,50% of the transaction amount, min. 2 000 tenge; over 50 000 000 RUB – 0.25% of the amount

Standard Tariff Plan and «Personal Broker» Tariff Plan of BCC Invest JSC for individuals entities

№ п/п	Tariff plan for individuals entities				
1	Brokerage Services				
	Name of service	Standard Tariff Plan		Персональный брокер	
		Order submitted in office	Order submitted remotely	Order submitted in office	Order submitted remotely
1.1.	Operations of purchase/sale of financial instruments on KASE	0.03% of the transaction amount (min. 5 000 tenge)	0.03% of the transaction amount (min. 100 tenge)	0.25% (min. 5 000 tenge per order)	0.25% (min. 100 tenge per order)
1.2.	Operations of purchase/sale of financial instruments on AIX	0.1% of transaction amount (min. 7 500 tenge)	0.1% of transaction amount (min. 350 tenge)	0.25% (min. 7 500 tenge per order)	0.25% (min. 350 tenge per order)
1.3.	Operations of purchase/sale of financial instruments on the international market	0.155% of the transaction amount (min. 7 500 tenge)	0.155% of the transaction amount (min. 3 500 tenge)	International bonds (excluding KASE and AIX)	
				0,2% of the transaction amount (min. 7 500 tenge per transaction)	0,2% of the transaction amount (min. 3 500 tenge per transaction)
				Shares, ETF, REITs	
				0,5% of the transaction amount (min. 7 500 tenge per transaction)	0,5% of the transaction amount (min. 3 500 tenge per transaction)
1.4.	Purchase/sale of financial instruments on the OTC market. Registration of transfer of ownership rights	0,06% of the transaction amount (min. 7 500 tenge, max. 250 MCI)	0,06% of the transaction amount (min. 500 tenge, max. 250 MCI)	International bonds (excluding KASE and AIX)	
				0,2% of the transaction amount (min. 7 500 tenge per transaction)	0,2% of the transaction amount (min. 3 500 tenge per transaction)
				Shares, ETF, REITs	
				0,5% of the transaction amount (min. 7 500 tenge per transaction)	0,5% of the transaction amount (min. 3 500 tenge per transaction)
1.5.	Registration of transfer of ownership rights to financial instruments (gifting, exchange)	0.03% of the volume at the transaction price (min. 10 000 tenge, max. 250 MCI)			
1.6.	REPO Transactions	3% of the difference upon closing (min. 2 MCI, max. 100 MCI per order)			

1.7.	Transaction executed by direct trade method, as well as purchase/sale transaction of non-government securities admitted to circulation in the "Unlisted securities" sector	0,09% (min. 5 000 tenge)
1.8.	Conducting trades with a single seller or buyer of securities (special trades, subscription)	0.09% of the total nominal value of bonds (min. 100 MCI, max. 7 000 MCI)
2 Nominal Holder Services		
2.1.	Opening/closing of a personal account, change of holder details	Free
2.2.	Maintenance of a personal account	Free
2.3.	Crediting of financial instruments without change of nominal holder (within the company). Without change of ownership rights.	500 tenge
2.4.	Debiting of financial instruments without change of nominal holder (within the company). Without change of ownership rights.	500 tenge
2.5.	Crediting/input into nominal holding of financial instruments. Without change of ownership rights.	Free
2.6.	Debiting/withdrawal from nominal holding of financial instruments. Without change of ownership rights.	0.1% of the transaction (min. 15 000 tenge, max. 50 000 tenge per instruction)
2.7.	Registration of encumbrance of financial instruments	0.1% of the amount at nominal value (min. 10 MCI, max. 250 MCI)
2.8.	Removal of encumbrance of financial instruments	0.1% of the amount at nominal value (min. 10 MCI, max. 250 MCI)
2.9.	Conversion of a financial instrument (from a depositary receipt to common shares, from common shares to depositary receipts)	10 tenge per 1 GDR/ADR (min 10 000 tenge)
2.10.	Conversion of depositary receipts into shares of companies included in sanctions lists	Tariff is negotiated separately depending on the value of depositary receipts. Not less than 5% of the total value of the underlying asset at the NB RK rate
2.11.	Provision of an extract or statement on account movements	On paper with Company seal impression - 5000 tenge In electronic format without seal impression - free
2.12.	Participation in a corporate event	Free

2.13.	Dematerialization services (commission for one dematerialization of shares (i.e., for one certificate))	0.15% of the market value of the financial instrument (min. 40 MCI, max. 300 MCI)
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3 Tariffs for the service of purchase/sale of currency on KASE			
	Purchase/sale of currency on KASE	Order submitted in office	Order submitted remotely
3.1.	USD/KZT EUR/KZT EUR/USD	0,075% of the transaction amount, min. 10 000 tenge; over 500 000 USD/EUR – 0.06% of the amount	0,075% of the transaction amount, min. 2 000 tenge; over 500 000 USD/EUR – 0.06% of the amount
3.2.	CNY/KZT	0,075% of the transaction amount, min. 10 000 tenge; over 2 000 000 CNY – 0.06% of the amount	0,075% of the transaction amount, min. 2 000 tenge; over 2 000 000 CNY – 0.06% of the amount
3.3.	EUR/CNY USD/CNY	0,075% of the transaction amount, min. 10 000 tenge; over 500 000 USD/EUR – 0.06% of the amount	0,075% of the transaction amount, min. 2 000 tenge; over 500 000 USD/EUR – 0.06% of the amount
3.4.	RUB/KZT	0,075% of the transaction amount, min. 10 000 tenge; over 50 000 000 RUB – 0.25% of the amount	0,075% of the transaction amount, min. 2 000 tenge; over 50 000 000 RUB – 0.25% of the amount